

Governance and Audit Committee

Meeting Venue

By Zoom

Meeting Date

Friday, 1 March 2024

Meeting Time

10.00 am

For further information please contact

Kath Flanagan-Jones

Senior Scrutiny Officer

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County Hall
Llandrindod Wells
Powys
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26.02.2024

Mae croeso i chi siarad yn Gymraeg neu yn Saesneg yn y cyfarfod.

Rhowch wybod pa iaith rydych am ei defnyddio erbyn hanner dydd, ddau ddiwrnod gwaith cyn y cyfarfod.

You are welcome to speak Welsh or English in the meeting.

Please inform us of which language you wish to use by noon, two working days before the meeting.

AGENDA

1.	APOLOGIES
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To receive apologies for absence.

2.	DISCLOSURES OF INTEREST
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To receive any disclosures of interest by Members relating to items to be considered at the meeting.

3.	MINUTES AND ACTION LOG
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(i) To authorise the Chair to sign the minutes of the previous meeting held as follows as a correct record:

- 12th January 2024.

(ii) To receive and consider the Action Log.

(Pages 5 - 28)

Audit Wales

4.	AUDIT WALES - WORK PROGRAMME & TIMETABLE
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To receive the Work Programme and Timetable from Audit Wales.

(Pages 29 - 60)

SWAP Items

5.	INTERNAL AUDIT QUARTERLY UPDATE
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To receive and consider the Internal Audit Quarterly Update.

(Pages 61 - 74)

6.	PLANNING PROCESS DOCUMENT
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To receive and consider the Planning Process Document.

(Pages 75 - 80)

7.	SUPPLEMENTARY PAYMENTS
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To receive and consider report on the Supplementary Payments.

(Pages 81 - 84)

Corporate Items

8.	STRATEGIC RISK MANAGEMENT QUARTER 3 REPORT
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To receive and consider the report of the Cabinet Member for Finance and Corporate Transformation.

(Pages 85 - 120)

9.	CORPORATE SAFEGUARDING BOARD ACTIVITY REPORT
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To receive and consider the report of the Director of Social Services.

(Pages 121 - 126)

10.	RISK ASSURANCE - PROCUREMENT AND CONTRACTS
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To receive and consider an update from the Professional Lead – Procurement and Commercial Services.

(Pages 127 - 132)

11.	SELF-ASSESSMENT ACTION PLAN UPDATE
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(Pages 133 - 134)

12.	DRAFT TERMS OF REFERENCE - WHISTLEBLOWING
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To receive a draft Terms of Reference in regard to Whistleblowing.

(To Follow)

Risk Deep Dive

13.	FRAUD - IAWARD0009
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To receive a presentation on the Fraud Risk deep dive.

14.	WORKING GROUPS
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To receive verbal updates from the Chairs of the Working Groups:

- HTR
- HOWPS
- Capital
- Internal Audit

15.	WORK PROGRAMME
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To consider the forward work programme (attached) and consider whether any additional items should be included.

(Pages 135 - 140)

16.	EXEMPT ITEM
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RESOLVE to exclude the public for the following item of business on the grounds that there would be disclosure to them of exempt information under category 3 of The Local Authorities (Access to Information) (Variation) (Wales) Order 2007).

The Monitoring Officer has determined that category 3 of the Access to Information Procedure Rules applies to the following item. His view on the public interest test (having taken account of the provisions of Rule 14.8 of the Council's Access to Information Rules) was that to make this information public would disclose information relating to the financial or business affairs of any particular person (including the authority holding that information).

These factors in his view outweigh the public interest in disclosing this information. Members are asked to consider these factors when determining the public interest test, which they must decide when considering excluding the public from this part of the meeting.

17.	REGULATORY TRACKER QUARTER 2 UPDATE
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To receive and consider the report of the Leader and Cabinet Member for an Open and Transparent Powys.

(Pages 141 - 148)

Committee Reflection

Following the close of the meeting, the Committee is asked to take 5 to 10 minutes to reflect on today's meeting.